



AF 2814  
FFW

PATENT APPLICATION  
Docket No. 9898-217  
Client No. SS-16232-US-RCE

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Inventor(s): Jae-Hyun JOO and Wan-Don KIM Conf. No. 6757  
Serial No.: 10/055,270 Examiner: Mai, Anh, D.  
Filing Date: January 22, 2002 Group Art Unit: 2814  
Title: METHOD FOR MANUFACTURING A SEMICONDUCTOR DEVICE HAVING A  
METAL-INSULATOR CAPACITOR

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

INFORMATION DISCLOSURE STATEMENT

Sir:

This Information Disclosure Statement is submitted:

- ☐ under 37 CFR 1.97 (b); or  
(Within three months of filing national application; or date of entry of International application;  
or before mailing date of first office action on the merits; whichever occurs last)
- ☐ under 37 CFR 1.97 (c) together with either a:  
☐ Statement under 37 CFR 1.97 (e), or  
☐ a \$180 fee under 37 CFR 1.17 (p); or  
(After mailing of first Office Action, but prior to Notice of Allowance or Final Office Action)
- ☒ under 37 CFR 1.97 (d) together with:  
☒ Statement under 37 CFR 1.97 (e), and  
☒ a \$180.00 fee set forth in 37 CFR 1.17 (p).  
(Filed after final action or notice of allowance, whichever occurs first, but before payment of

the issue fee)

☒ Applicant(s) submit herewith Form PTO 1449- Information Disclosure Citation together with  
copies of patents, publications or other information of which applicant(s) are aware, which applicant(s) believe(s)  
may be material to the examination of this application and for which there may be a duty to disclose in accordance  
with 37 CFR 1.56.

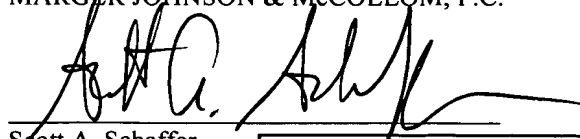
It is requested that the information disclosed herein be made of record in this application.  
PTO Form 2038 authorizing credit card payment of \$180.00 (IDS fee) is enclosed.

Any deficiency or overpayment should be charged or credited to deposit account number 13-1703. A  
duplicate copy of this sheet is enclosed.

Customer No. 20575

Respectfully submitted,

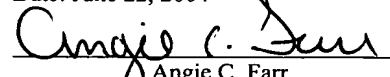
MARGER JOHNSON & McCOLLOM, P.C.

  
Scott A. Schaffer  
Reg. No. 48,610

MARGER JOHNSON & McCOLLOM, P.C.  
1030 SW Morrison Street  
Portland, OR 97205  
503-222-3613

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22313-1450

Date: June 22, 2004

  
Angie C. Farr



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INFORMATION DISCLOSURE CITATION  
FORM PTO-1449 (Modified)

FOREIGN PATENT DOCUMENTS

Exam <u>Init</u>	<u>Ref</u>	Document <u>Number</u>	Publication <u>Date</u>	<u>Country</u>	<u>Name</u>
_____	_____	JP5102040	4/23/1993	Japan	Horiike
_____	_____	JP11087629	3/30/1999	Japan	Kijiro

OTHER DOCUMENTS

Exam <u>Init</u>	<u>Ref</u>	<u>Author, Title, Date, Pertinent Pages, Etc.)</u>
_____	_____	English Language Abstract of Japanese Patent No. JP5102040.
_____	_____	English Language Abstract of Japanese Patent No. JP11087629.

Examiner: \_\_\_\_\_

Date Considered: \_\_\_\_\_



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STATEMENT ON FILING INFORMATION DISCLOSURE STATEMENT  
(37 CFR 1.97(e))

I, Scott A. Schaffer, the person signing below state that:

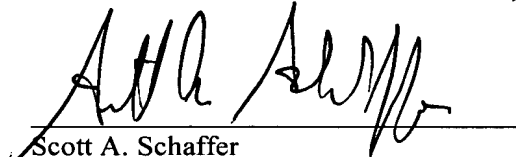
Each item of information contained in the Information Disclosure Statement submitted herewith was first cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Statement.

Applicant respectfully requests consideration of the accompanying Information Disclosure Statement.

The person making this Statement is the attorney who signs below on the basis of the information in the attorney's file.

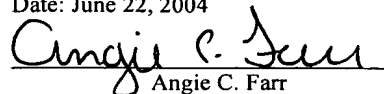
Respectfully submitted,

MARGER JOHNSON & McCOLLOM, P.C.

  
\_\_\_\_\_  
Scott A. Schaffer  
Reg. No. 48,610

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1030 SW Morrison Street  
Portland, OR 97205  
503-222-3613

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\_\_\_\_\_  
Angie C. Farr

06/29/2004 WARDLRI 00000092 10055270

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